

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration for Patent Application

[] Supplemental (37 C.F.R. §1.67)

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 2 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHODS FOR TREATING MILD COGNITIVE IMPAIRMENT AND ALZHEIMER'S DISEASE

the specification of which (check one)

[] is attached hereto.

[X] was filed on March 2, 2004 as United States Application Number 10/791,223.

[] was filed on [PCT Filing Date] as PCT International Application No. [PCT Appl'n No.] [and assigned United States Application No. []].

[] and was amended on [] (if applicable).

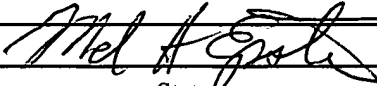
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
				YES	NO
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	[]	[]	[]
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	[]	[]	[]
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	[]	[]	[]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	Mel H. Epstein		
Inventor's Signature			
Residence: City	State	Country	Citizenship
Bristol	RI	USA	USA

Mailing Address (Business or Residential)			
Street or P.O. Box 411 Poppasquash Road			
City	State	ZIP	Country
Bristol	RI	02809	USA

Full name of second joint inventor, if any	Kjesten A. Wiig		
Inventor's Signature			
Residence: City	State	Country	Citizenship
Providence	RI	USA	New Zealand

Mailing Address (Business or Residential)			
Street or P.O. Box 18 Burlington Street			
City	State	ZIP	Country
Providence	RI	02906	USA

Full name of third joint inventor, if any	Jeroen Verheijen		
Inventor's Signature			
Residence: City	State	Country	Citizenship
Cranston	RI	USA	Netherlands

Mailing Address (Business or Residential)			
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City	State	ZIP	Country
Cranston	RI	02910	USA

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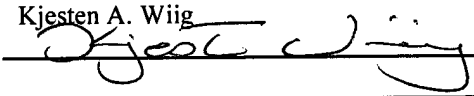
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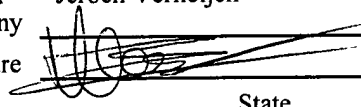
I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

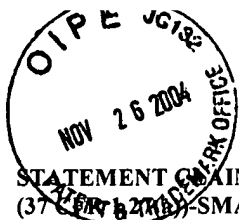
<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
				YES	NO
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]	[]	[]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]	[]	[]
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	[]	[]	[]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor				Mel H. Epstein			
Inventor's Signature							
Residence: City		State		Country		Citizenship	
Bristol		RI		USA		USA	
Mailing Address (Business or Residential)							
Street or P.O. Box		411 Poppasquash Road					
City		State		ZIP		Country	
Bristol		RI		02809		USA	

Full name of second joint inventor, if any				Kjesten A. Wiig			
Inventor's Signature							
Residence: City		State		Country		Citizenship	
Providence		RI		USA		New Zealand	
Mailing Address (Business or Residential)							
Street or P.O. Box		18 Burlington Street					
City		State		ZIP		Country	
Providence		RI		02906		USA	

Full name of third joint inventor, if any				Jeroen Verheijen			
Inventor's Signature							
Residence: City		State		Country		Citizenship	
Cranston		RI		USA		Netherlands	
Mailing Address (Business or Residential)							
Street or P.O. Box		85 Howland Road					
City		State		ZIP		Country	
Cranston		RI		02910		USA	



STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)) - SMALL BUSINESS CONCERN

DOCKET NUMBER: 3474.1001-011

Applicant or Patentee: Mel H. Epstein, Kjesten A. Wiig and Jeroen Verheijen
Application or Patent No.: 10/791,223
Filed or Issued: March 2, 2004
Title: Methods for Treating Mild Cognitive Impairment and Alzheimer's Disease

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Sention, Inc.
ADDRESS OF SMALL BUSINESS CONCERN One Richmond Square, 3rd Floor
Providence, Rhode Island 02906

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.


If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Randall L. Carpenter
TITLE OF PERSON IF OTHER THAN OWNER Chief Executive Officer
ADDRESS OF PERSON SIGNING Sention, Inc., One Richmond Square, 3rd Floor, Providence, Rhode Island 02906
SIGNATURE  DATE Nov. 22, 2004